

PRNC128

PRNC-128

PUERTO RICO NUCLEAR CENTER

MATRIX ISOLATION STUDIES OF THE GAMMA
RADIOLYSIS OF HETEROCYCLIC MOLECULES

Progress Report 4

April 1969

OPERATED BY UNIVERSITY OF PUERTO RICO UNDER CONTRACT
NO. AT (40-1)-1833 FOR U. S. ATOMIC ENERGY COMMISSION.

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PRNC-128

CHEMISTRY (T1D-4500)

PUERTO RICO NUCLEAR CENTER

MATRIX ISOLATION STUDIES OF THE GAMMA
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Progress Report 4

A. Grimison and G. A. Simpson

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PERSONNEL PARTICIPATING

Dr. A. Grimison, Chief Scientist, Principal Investigator

Dr. G. A. Simpson, Associate Scientist

M. ?Trujillo Sdnchez, Graduate Student Assistant

F. Bernasconi, Graduate Student Assistant

O. Pérez, Research Assistant (Part time)

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?The results achieved in this project fron May 1966 to April 196g,

were previously presented in Technical Progress Reports No. 1 (FRNC No. 8), No. 2 (ERNC No. 99) and No. 3 (PRC No. 126). The present Technical Progress Report reviews the results obtained in the period from April, 1968, to April 1969, the time of preparing this Report. As has been done previously, the report is divided into two sections, Experimental and Theoretical.

Section A. Experimental Results

I. General Procedures

Purification of solvents, such as 2-methyltetrahydrofuran (MTHF)

or 3-methylpentane (3MP) have been described previously. All compounds used were of highest commercial purity. Absorption spectra

were obtained as before.

Visual observations of either high or low temperature irradiated

compounds were made in a darkened room after suitably dark adapting the observer's eye. Quantitative measurements of relative thermoluminescent

intensities were obtained with a commercial instrument, the Con Rad

thermoluminescent detector, under nitrogen purging to minimize combustion.

No evidence of combustion was found. The device is calibrated in terms of rad-equivalents of LiF thermoluminescence. Thus, absolute comparisons are possible.

ESR measurements were performed both with the Varian 4500 at IVIC, Venezuela, and with the Varian E-3 of the Chemistry Department, U.P.R.

Estimations of "spin" concentration were made in terms of the rated

instrument sensitivity 10^6 spins/gauss.

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A description of the experimental conditions for observing lumines-

cence effects is found in Figures 3, 4 and 5 along with detailed list of

components in Table 2. A discussion of their applications can be found

in the Section "Phototonization of Heterocyclics at 77°K"

II, Absorption Spectra of Radiolytic Intermediates at 77°K

A copy of @ paper submitted to the Journal of Physical Chemistry

4s found in Appendix 1, This paper, entitled "Electron Attachment by

Pyridine and the Diazines in Gamma-Radiolysis at 77°K", represents @

finalization of studies revealed in previous Technical Progress Reports.

Electron attachment in these compounds has been observed following gamma radiolysis in MIF glassy solutions. This observation has been confirmed by studies of bleaching effects, solvent concentration effects and through competition effects with added electron scavengers. The experimental spectra of anions are in excellent agreement with spectra obtained by classical chemical procedures,

III. Thermoluminescence Following Gamma-Radiolysis at 77°K

Thermoluminescence of irradiated biologically significant compounds on warming from 77°K has been reported previously. Etman and Wallace have made extensive quantitative studies on a large number of compounds. Among them are adenine, having a thermoluminescence maxima at 460 m, cytosine, DNA, guanine (maxima at 510 m), thymine and uracil. Approximate estimations of the G values for thermoluminescence can be made from their data and are of the order 10^6 photons per 100eV absorbed for adenine, the most efficient and for uracil, 10^5 photons/100 ev. This technique

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is very sensitive, with a minimum thermoluminescence yield on the order 10^7 photons/100 ev detected. Fleming and Kerr have observed thermoluminescence from adenine and cytosine with maxima near 480 nm, and which

was identical to the crystal phosphorescence under uv excitation,

Charlesby and Singh² have likewise reported identical uv induced blue phosphorescence and thermoluminescent bands of irradiated purine and pyrimidine bases. Thus, our visual observations of blue thermoluminescence from those compounds reported in Table 1 are in agreement both in relative magnitude and in wavelength region with the previous studies.

Studies of the phosphorescence of these compounds in aqueous solutions would place the emission bands of those compounds in the violet rather than the blue regions of the spectra. For example, Longworth³ has reported phosphorescence of adenine occurring between 365 and 425 nm, and guanine at 400 nm in neutral water. Nevertheless, the fact remains that uv excitation of the crystals produce blue emissions.^{2,3,4} Thus it may be concluded that the entities in neutral water are strongly aquated. Shifts of 50 nm may well be ascribed to strongly perturbed N-IT transitions, and can be observed in aqueous solutions.

IV. Thermoluminescence and ESR Signals after Room Temperature

Gamma-Radiolysis

Production of long lived free radicals in the crystalline purine

of pyrimidine bases by ionizing radiation has been observed previously,

The works of Andros and Calvin,[®] or Cook and Eliot[?] provide good reference, Similarly, for those compounds irradiated at 77°K, Literature

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exists for comparative studies of both ESR and thermoluminescence of irradiated bases, viz. Sonner and Pinl.[®] However comparative ESR and themolunescence studies for those compounds irradiated at room temperature have not been made, Presumably, the existence of a high temperature themoluminescence has not been observed.

In figures 1 and 2 we report the ESR spectra of irradiated cytosine and uracil. ?The G values for production of those radicals are estimated to be of the order 10⁷ and 10⁻³ spins/100 eV respectively. ?The radicals associated with these unsymmetrical and unresolved spectra have g values of 2.02 and widths at half maximum intensity of less than 20 gauss. The absence of detail is characteristic of powdered samples. The g values, width and absence of large splittings suggest the presence of neutral radicals having an unpaired electron localized on a carbon atom joined to at least one hydrogen atom, ?The similarity in the spectra of the cytosine and uracil radicals allow the suggestion that a hydrogen may have added to the carbon atoms at the 4 or 5 position in the ring. This interpretation is at least consistent with the single crystal study of

Cook and Elliot, where the presence of a radical of cytosine with an extra H atom in the 5 position in addition to @ radical with an H atom vacancy from the nitrogen in the 3 position is reported.

In addition, the ESR spectra are sensitive to moderate heating following gamma irradiation. Heating samples of irradiated cytosine or

racil to 50°C causes a reduction in the intensity of the ESR spectr:

High temperature thermoluminescence from purine or pyrimidine bases has been observed in these laboratories. The following compounds and their

---Page Break---

Te relative intensities of thermoluminescence were obtained with a commercial

?thermoluminescence detector after irradiating to a dose of 3.5×10^9 rads:

cytosine we Taymine 13

Adenine 1s Purine 0.6

Uraci2 9.1 Guanine on

ur - 10"

All of the thermoluminescent intensities were linear in dose up to the value noted. Further, the visual observation of the temperature dependence of the luminescence places the maximum glow intensities in the range 64°C to 155°C (which were limits of temperatures at which feeble glows could be observed).

Work is anticipated to determine the quantitative aspects of the temperature dependence of both the free radical concentration and the thermoluminescence process. A tentative hypothesis, which we wish to test, is that the destruction of the free radical centers gives rise to the thermoluminescence, that it may be chemiluminescence. This process is distinct from that responsible for the luminescence reported in part III, which we suspect to be due primarily to recombination of an electron-cation pair which has stability at 77°K.

(the assistance in experimental work of Dr. H. Bemski, Ivic, Venezuela is gratefully acknowledged).

Photoionization of Heterocyclics at 77°K

A survey of the pertinent literature indicates the existence

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of same four mechanisms whereby a neutral atom or molecule may be

Liberated from a valence electron, These are:

1. Direct photoionization

2, Charge transfer to the solvent (CTTS)

3. Biphotonie photoionization

4, Lim proposal

The first mechanism is, perhaps, the most well known of the four and is the process responsible for the production of the ionsphere, On absorption of @ photon having energies greater than or equal to ?the ionization potential of the compound a cation and a separated electron are produced. Presumably, this process can also occur in condensed phases, but the photon energy required may be lowered due to the solvation energies of the resultant ions. Since there is one to one correspondence between photons absorbed and ions produced the rate law for the overall process is linear with respect to intensity of the exciting Light.

The second mechanism is suitable for the effect of light absorp-

tion by dops in highly polar solvents such as water, It differs from

the first in that it applies to a specific solution electronic transition - charge transfer absorption bands - and is highly influenced by temperature, solvent dielectric and the presence of added salts. It is also

@ one photon process and can be characterized by the equation.

(ion) + bh} ?5 (4on)* ?» (10n") + emsoivatea

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-T-

This mechanism is known to occur for the halide ions, ferric salts and

Some neutral species.9

The third process may well be the most important for biological entities. It can provide a low energy route towards photobiological damage, energy storage, or conversion in biological systems. ?The mechanism has been demonstrated to occur in aromatic amines by Albrecht, and in aromatics by Porter. ?The mechanism requires that a long lived triplet state, initially produced by light absorption by a neutral, absorb a second photon to produce the electron-cation pair, Generally the photon energy required is less than half that of the gaseous

donization potential. Since two photons are required the rate is a function of the square of the Light intensity.

The final mechanism, a one photon ionization of the negative acridine dye ions has been proposed by Lim. The mechanism has many features in common with the CTTS mechanism and requires further

experimental work to substantiate its uniqueness:

Thus, the problem in demonstrating the occurrence of photoionization among heterocyclics becomes one of determining the appropriate mechanism of the process. In Progress Report No. 116" we have demonstrated that some compounds on exposure to ultraviolet

Light at 77°K produced colored intermediates. We suggested that

photoionization occurs in those cases. However, the procedures used were unsatisfactory in producing measurable amounts of absorbances.

Thus, it was decided to use an altogether different approach, luminescence

detection, which would be inherently more sensitive than an optical

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mer

absorption technique. Therefore, we will present our investigations of the luminescence behavior of indole, indazole, purine and tetraphenylpyrrole (triphenylamine and aniline were used as referents). The tests we employ are designed to demonstrate the occurrence of recombination luminescence, whether it is produced in the forms of prolonged isothermal luminescence, infrared stimulated luminescence, or thermoluminescence. The time dependence of isothermal prolonged luminescence was described by Debye and Edwards² as having an intensity-time relation of the form $I = ky$, where k is a constant and proportional to the total integrated prolonged luminescence; t is time after cessation of exciting light, and M , a number having an unknown dependence on concentration, being very close to unity for very low concentrations of test compound and decreasing with increasing concentration. This time dependence results from the diffusion controlled migration following thermal excitation of trapped electrons in the fields of cationic centers in rigid media at reduced temperatures. Skelly and Hamill³ have shown that absorption of infrared light into the trapped electron absorption band causes migration of trapped electrons resulting in stimulated luminescence on recombination with a neighboring trapped cation. Finally, Daniels⁴ has shown that thermal stimulation by increasing temperatures causes recombination luminescence in the form of thermoluminescence. Typically the luminescence observed under all of these conditions is the phosphorescence of the neutral compound. The experimental arrangement consisted of an intense uv light

source, with appropriate filters for isolating the excitation

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wavelength, a light tight housing for the optical dear end cell, and @ high intensity grating monochromator, along with photamltipliers, Power supply, electrometer and recorders. ?The actual arrangements are found in Figures 3, 4, and 5, with a legend of the components in Table 2. In Figure 3, the arrangement used in obtaining normal phosphorescence, fluorescence or prolonged luminescence spectra is described, Figure 4 shows the arrangement used in obtaining emissions under infrared stimulation. Finally in Figure 5 is shown the arrangement used to measure total luminescence during isothermal, infrared, or warm up stimulation of the photolyzed glassy solutions.

A denonstration of the resolution achieved by this apparatus can be found in Figure 6 where the emission spectra of benzene produced ?vy 250 mm excitation in 5MP at T7°K is demonstrated. Comparison vith data given in Pringshen?© shows the position of the maxima to be correct to within + 0.8 mm with @ resolution of 10 nm, Higher resolution is possible. The reference curve shown below the benzene emission was obtained with pure JMP and demonstrates the absence of contaminating impurities, scattered light or dewar emission, The lifetime of benzene phosphorescence was also determined as a test by shutting off the light source rapidly or flashing the General Radio Co, stroboscope and

monitoring the decay on a recorder or oscilloscope. This result can be found in Figure 7. The lifetime obtained 4.7 ± 0.3 sec is in good agreement with some recent work of Kalantar??

As a further test of technique, the lifetime of the stroboscope

flash was determined. The result of this test is found in Figure 8.

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?The half intensity durations, and the decay times are measured as: low intensity, 2.74 sec. duration and 1.84 sec decayj med. intensitys

3.0 sec. duration and 2.2,4sec decayjand high intensity, 5.3 sec.

duration and 3.14 sec decay. The relative intensities of the three levels shown in Figure 8 are proportional to the actual lenp intensities

?The manufacturer quotes the duration as approximately 2 seconds shorter than those obtained here. This indicates that some undesired capacitances are present in our detection circuit. The presence of

departures from linearity in the decay plot is consistant with this.

?Thus, emission lifetimes can be measured to some 10's of M sec with good accuracy.

?The results of the luminescence measurements on indole, indazole, purine, tetraphenylpyrrole, aniline or triphenylamine in MTEF at 77° can be characterized by Figures such as No, 9 through 13, In general, if any of those compound are exposed to the full output of a high pressure mercury are for times as low as 1 second, the decay of luminescences show a time dependence similar to that found for indole in Figure 9, The overall time dependence can be expressed by the equation $I = A \exp(-t/\tau) + B t^M$, ?The departure of the curve in Figure 9 at early times is a result of plotting the $\exp(-t/\tau)$ function on a log-10 scale. Equations for the six compounds have been found for 5 second

exposures and are expressed as:

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Compound Function

Aniline $T = 3.0\% \cdot 10 \exp(-t/4.8 + 0.3 \text{ sec})$

+ $3.8 \times 10^7 M \phi^{-1.02} \text{ amp}$,

Indole $I = 1.9 \times 10^{-2} \exp(-t/6.4 + 0.2 \text{ sec})$

+ $1.7 \times 10^7 5 \cdot 470-95$

Indazole $I = 6,0 \times 10^0 \exp(-t/3.5 + 0.1)$

$$+ 3.6 \times 10^{-6} \text{ tugh}$$

$$? \text{Triphenylamine } I = 1.6 \times 10^{-4} \exp(-(t/1.8 + 0.3 \text{ sec}))$$

$$+ 5.0 \times 10^{-4} \text{ } 4-0.85$$

$$\text{Purine } I = 5.0 \times 10^{-4} \exp(-(t/1.6 + 0.1 \text{ sec}))$$

$$+ 2.8 \times 10^{-7} \text{ } 48\%$$

$$\text{Tetraphenylpyrrole } I = 6.3 \times 10^{-7} \exp(-(t/1.6 + 0.2 \text{ sec}))$$

$$+ 2.5 \times 10^{-6} \text{ } 70.92$$

?The concentrations of all compounds are of the order 10^{-4} in mrxF ,

The rapidly decaying portion of the mathematical function is the normal first order decay function, and the values of $?Y$ obtained here agree well with the literature values where available. The prolonged luminescence is described well by the Debye-Edwards function. There is no departure from this function for a time range from 90 seconds to at most 3 hours later when the photo multiplier dark current is reached. There is a good correlation between the magnitude of the phosphorescence decay constants and the magnitude of the Debye-Edwards proportionality constant. That is, the greater the lifetime, the greater the prolonged luminescence, This suggest the involvement of the triplet state in the photoionization of these compounds.

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In figure 10 is found the emission spectra obtained during «

period from 90 seconds to six minutes after the cessation of the exciting light for indole, indazole and aniline (with @ 40 m band pass). The spectrum obtained for indole agrees with that obtained by Freed and Sianre,¹⁸ and that of aniline with the spectrum of Lewis and Kasha⁹. Thus, as pointed out earlier, the phosphorescent state of the neutral is produced by recombination.

The effect of infrared stimulation on the prolonged luminescence

of a 2 x 10⁻⁴ M solution of aniline is found in Figure 11. The

effect of infrared stimulation is to change the value of the Debye-Edwards exponent from 0.8 to 1.6. This observation is consistent

with effects noted by Skelly and Hant^{11,24}. We also observe an increase in the Debye-Edwards exponent during IR stimulation of indole and indazole solutions.

The dependence of the total recombination luminescence on the intensity of exciting light is shown in Figures 12 and 15 for aniline and indole as the ratio of the square of the total exciting light intensity I_{ex} divided by the integrated luminescence E . If a biphotonic photoionization involving the triplet state occurs, then the process can

be described by the following mechanism.

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-B-

A+nibl, at

1) ae ?e Athy!

2a A

aw + nila, at + (e7) trapped

3) at +e7 par

4) (e")erappea + heat (or hY ar) > e7

?A represents @ neutral compound, A¥ its excited triplet state, and

AY ds ite cation. 9 represents the quantun yield of intersysten

crossing and the product of § and Ig, the Light absorbed by the

neutral, is the rate of populating the triplet state. I,' is the

intensity absorbed by the triplet state. ?The trapped electron is

indicated by (e")tr ande? ise free electron,

?These equations can be solved by the steady state approximation

if we assume that the overall rate of repopulating the triplet state,

by steps 3 and 4 are very much slower than the rates of formation.

This is a justifisble approximation, since we cbserve that a one

second exposure to the exciting light produces cation and electron

pairs that require two to three hours to recombine. The particular

solution we present here requires weak absorption - which we can

control by concentrations, and ideally narrow wavelength band absorptions, narrow band absorptions were not possible, so that extinction

coefficients referred to are the average over the absorption bands.

The solution is

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$=e$

$I_0 \tau$

$I^* \tau$

Z refers to the total number of ionization events produced during the excitation period AT , I_0 is the incident exciting light intensity; ϵ , and ϵ' refer to the extinction coefficients of the neutral and triplet state respectively, d the optical path length; i.e. 1 cm, and τ is the natural lifetime of the triplet or $(\tau = \tau_1 + \tau_2)$. Thus, the relationship requires that $I_0 \tau$ have a linear dependence on the exciting Light intensity.

We take the value of the quantity J , to be proportional to either the total amount of prolonged luminescence, the total amount of infrared stimulated luminescence, or the total amount of thermoluminescence, this quantity, measured in Coulombs of photomultiplier current, is plotted in Figures 12 and 13, according to the above expression and the data is obtained from the three forms of recombination

luminescence. The ratio I_g/z is linear with I_g , but is plotted on a semi-log scale for convenience,

The data referred to as electrical integration was an attempt to accumulate the photomultiplier current on a capacitor, in contrast to a manual method of integrating the photomultiplier current vs. time from a strip chart recordings - by a graphical method. The TZ acquired in this fashion is very much lower than from the other methods, This 48 not to be taken

evidence that considerably less recombination occurs

during warming but rather that the capacitor used in the measurement

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lost @ considerable fraction of its accumulated charge through Lesage during the course of the experiment. Comparative data for the Z obtained during warming showed that the electrical method gave data lower by two orders of magnitude than the manual integration method. In Figure 12, the isothermal and infrared stimulation data were performed with a concentration of 2×10^4 aniline, while the thermoluminescent data, for aniline were obtained with a concentration of the order 10^{14} , the data presented in Figure 15 were obtained with an indole concentration

of 5×10^7 M.

The existence of the Debye-Eéwards intensity dependence, the effects of infrared stimulation, and the thernoluninescence indicate the occurrence of photoionization among these compounds. The existence of I^2 intensity dependence in egreenent with a biphotonic mechanism involving the triplet state, indicates the path by which photofonization has occurred in the cases of indole, indazole and aniline. The occurrence of biphotonic photoionization in aniline

has been observed previously.² as

Section B: Theoretical work

?The theoretical work has continued along the basic lines indicated previously. One objective of this part of the program is the calculation of excitation energies of radical anions and cations of heterocyclic molecules, to aid the assignment of experimental spectra. The other objectives include the general features of the electronic structure of such species, and work has also been begun on electron-molecule inter-
actions.

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T. Electronic spectra of Heterocyclic Radical Cations and Anions

The results which have been obtained for the doublet-doublet transitions of the radical anions of pyridine, pyrimidine, pyrazine and pyridazine are fully described in the appended article, which has been submitted for publication. These calculations use the Pariser-Parr-Pople self-consistent field method, with and without Configuration Interaction. The results can be summarized by saying that an excellent agreement was obtained with experimental and theoretical results of other groups of workers: as well as with the experimental work reported earlier. The use of limited Configuration Interaction does not improve the agreement with experiment, in harmony with recent theories.

In addition to the above calculations, the radical cations of the following molecules were examined: pyrrole, furan, thiophene, Pyridine, pyrimidine, pyrazine, and pyridazine. A very much poorer agreement with experiment is obtained for the radical cations.

The Pariser-Parr-Pople method uses the pi-electron approximation, and these results question its validity for such radical cations.

For the anions the unpaired electron is certainly in an antibonding pi-orbital, and the approximation appears to give good results.

However, for the radical cations of heterocyclic molecules, it is by no means certain whether an electron has been removed from a sigma (non-bonding) or from pi-orbital. Pyrrole is the only cation which

has been examined which has no non-bonding electrons available, but

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the results for the pyrrole cation are equally bad. This indicates

that as well as taking account of the sigma-electrons, it may be necessary to specifically include the sigma-pi interaction terms. These give rise to a reorganization in the sigma-framework on ionization of a pi-electron,

which will significantly affect the stability of the radical cation,²³⁻²⁵

This point is currently being investigated. No method exists at present, apart from ab initio calculations, which includes this type of interaction.

II, Valence-Bond Calculations on Heterocyclic Systems

These calculations use cyclopentadiene as a preliminary model,

and aim at the electronic structure of simple heterocyclic molecules and radicals, using non-empirical valence bond method, as described in previous Reports. During this year, work has been principally on the modification of a program originally written by Dr. Palmieri (University of Bologna). This uses as input the atomic integrals whose calculation was described in Technical Progress Report No. 3 (PRNC-116). All possible determinantal basis functions of a given multiplicity are generated,

and the integrals among them evaluated using Lowdin's density matrix

formation for non-orthogonal Slater determinants.

III. Hetaryne Intermediates

In collaboration with Dr. W. Adam (U. of Puerto Rico) and

Dr. R. Hoffmann (Cornell U.) a study has been completed of the 'hetaryne

intermediates' formed by removal of hydrogen atom(s) from heterocyclic

molecules. This work has been accepted for publication, and a copy

is appended to this Report. The electronic structure of these

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intermediates was examined by the Extended Huckel Theory, 6

which

includes sigma- and pi-valence electrons, The trends in stability correlate very well with experimental data, and were analyzed in terms

of orbital splitting patterns.

IV, Electron-Molecule Interactions

Work is beginning on the calculation of exact molecular potentials between an electron and a many-electron molecule, in the form of a multipole expansion.?? this potential is to be used in investigations of

electron-molecule attachment processes.

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COMPOUNDS IRRADIATED ATTPX.GIVING ERMOWINESCNE

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SR OF GAMMA = IRRADIATED CYTOSINE

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Figure 1 ?aerimtive ctr

ESR OF GAMMA IRRADIATED URACIL

Figure 2

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TABLE 2

INSTRUMENT DESCRIPTION FOR FIGURES 3, 4 AND 5

A. General Electric AH6 high pressure mercury arc

B. Arc power supply

C. Ni-Co solution filter

D. Baird Atomic interference filter 254 nm

E. Slit

F, Photographic shutter

G. Dewar box

H. Quartz dewar

I. Iem quart solution cell

J. Stroboslave 1539A flash lamp

K. Flash power supply and trigger unit

L. Bausch & Lomb 603AB high intensity grating monochromator

M. Wavelength drive unit

N. Emi 9526B photomultiplier

O. Fluke 4128 high voltage power supply

P. Keithley 610B electrometer

Q. Leeds & Northrup 10 mV recorder

R. Tektronix 561A oscilloscope

S. RG-1000 Jena filter

T. Boxter Corp. 5900 variable intensity tungsten lamp

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4 ean3za

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?azn 30) 70H SoMDSINT

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APPENDIX 1.

Submitted to the Journal of Physical Chemistry, January, 1969

BY PYRIDINE AND THE DIAZINES IN γ -RADIOLYSIS:

?THEORETICAL CONSIDERATIONS*

?A. Grinison, G.A. Sixpson, M. Trujillo Sánchez, and J. Jhavers

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Abstract:- Absorption spectra characterizing the radical anions of pyridine, pyridine, pyridine and pyridazine have been produced by γ -radiolysis of the parent compounds in a 2-methyl-tetrahydrofuran matrix at 77°K. These spectra are in good agreement with those obtained by chemical reduction or electrolysis, but some additional transitions at longer wavelengths have been observed. Pariser-Parr-Pople calculations of the theoretical doublet-doublet transitions of the radical anions yield good correlations with the experimental transitions. The best correlation is obtained by not including configuration interaction, at least for a very limited number of configurations. Irradiation of pyridine in 3-methylpentane leads to chemiluminescence assigned to the phosphorescence of pyridine.

Presented in part at the Second Interamerican Radiochemistry Conference, Mexico City, Mexico, April 1958.

**Puerto Rico Nuclear Center is operated by the University of Puerto Rico for the U.S. Atomic Energy Commission under Contract AT(H0-1)-1833.

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Our primary interest in the production and characterization of
Sonic intermediates formed by the γ -radiolysis of heterocyclic rotamers,
This identification can provide important information on the effects of
radiation on biological systems, The technique being used is that of

isolation in a solid matrix at liquid nitrogen temperature.) From the

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2) A.M. Bass and H. P. Brode, "Formation and Trapping of Free Radicals",
Academic Press New York (1960).

chemical viewpoint, perhaps the most intriguing aspect of such work is
the extreme simplicity of the final processes forming these intermediates,

Thus the work of Hamill and co-workers has indicated how radical anions

29M. Re Ronayne

~» Je P. Guarino and W. H. Hamill, J. Am. Chem. Soc.
Biophys. J. (1962)

can be formed by attachment of low energy electrons to solute molecules.

Radical cations can apparently be produced by a simple positive charge

exchange between the matrix and the solute molecule.²⁺⁴ In a previous

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3 T. Shida and

2 2 He Homild, J. Chem. Phys. 20, 2369 (1955).

A. Grinison and G. As Sinfoon, J. Chem. Phys. 20, 2369 (1955).

A. Grinison and G. As Sinfoon, J. Chem. Phys. 20, 2369 (1955).

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publication," we have described one radiolytic technique suitable for

the production and stabilization of radical cations. By this means

absorption maxima measured at 77°K were assigned to the radical cations

of pyridine, pyrrole, and thiophene. In general, the production of

radical anion intermediates are experimentally much less difficult. TH

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Hush and Hopton have reported the absorption spectra of the radical anions of pyridine, pyrazine, pyrimidine, and pyridazine, produced by reduction with

sodium metal in tetrahydrofuran at room temperature.^{5,6} Kinnel and Strauss?

5) W. Dood, F. J. Hopton, and W. Hush, Proc. Chem. Soc. (London) 61 (1962).

6) F. J. Hopton, Ph.D. Thesis, University of Bristol, England (1952),
3 P. I, Kinnel and H. L. Strauss, Abstract of Papers, American Chemical
Society Meeting, San Francisco, March 31 to April 5, 1958, 8-251-

and private communication from H. L. Strauss.

reported the optical spectra of some radical anions, produced by
electrolysis in liquid ammonia solutions. The observation of a λ_{max} at
330 m from alkali metal solutions in anhydrous pyridine at room temperature

4s in good agreement with the earlier reports for the pyridine anion.⁸

8) C. D. Sctmlbach, C. C. Hinckley, and D. Wasmund, J. An. Chem, Soc. 90, 6600 (1958).

The present paper describes our results on the effects of γ -irradiation on the optical spectra of pyridine and the diazines in organic glasses

at 77°K. the major process observed under these conditions is shown to be the attachment of an electron to the neutralazine molecule to form the radical anion. The experimentally observed optical transitions from the different experimental techniques are shown to be in excellent accord.

Finally, the semi-empirical theoretical excitation energies for the radical anions, calculated by us, by Hush and Hopton,⁹ and by Kimsel and

Strauss¹⁰ as compared with the experimental values.

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ental Techniques

Pyridine, Eastman Spectrograde, was distilled from barium oxide before use in absorption spectra determination. For emission studies Pyridine solutions were prepared either from the distilled pyridine or from a middle fraction of the main peak of samples injected on a 6 ft, GIC column of Carbowax 80°C. Good separation of pyridine and its fluorescent impurity, pyrazine, was achieved under these conditions,

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9) C.J. Breakey, J. Chem. Phys. 24, 572 (1956).

Se

Pyrazine, pyridazine, and pyrimidine were best commercial grade, and were

used without further purification, 2-Methyltetrahydrofuran (MTHF) was

purified by passage over alumina, and stored under vacuum in a storage

vessel containing Na-K alloy. 3-Methylpentane (MP), Phillips Research

Grade, was passed over a silica gel column, then stored under vacuum

over Na-K alloy. Solutions of required concentrations were prepared

from these purified solvents on the vacuum line by standard techniques.

γ -Irradiations were performed in the FRIC 2700 curie 60-60 source,

using the Fricke technique for dosimetry.

Absorption spectra were obtained with the apparatus and techniques

described previously. Optical bleaching was effected with a 250 watt

quartz-halogen lamp and appropriate transmission filters, Emission spectra

under thermal stimulation were obtained either with an Aminco-Bowman

spectrophotofluorimeter operated with @ 100 nm band pass and a 1728

photomultiplier, or a motor driven Bausch and Lomb " High Intensity "

monochromator (No, 33-06-25) at 50 nm band pass and an EMT 95263

photomultiplier. The sample cell and optical device were mounted

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to warm up in the spectrophotofluorimeter by removing the Liquid nitrogen,

Emission spectra were then obtained by repeated scanning of the spectra

at @ rate of one scan (200-700) per minute, The heating rate under

these conditions ie Linear during the first five minutes, at approximately
Ly 20°K/minute,

THEORETICAL CALCULATIONS

Theoretical excitation energies and oscillator strengths corresponding to doublet-doublet electronic transitions in the azine anion have been carried out using the semi-empirical Pariser-Parr-Pople (PPP)

self-consistent field technique. Recent non-empirical calculations

20) R. G. Parr, "The Quantum Theory of Molecular Electronic Structure",
W. Benjamin, N.Y. 1953.

on the excited states of the ethylene molecule? including OT interactions

2a) 8. H, Dunning and V. Mekey, J. Chem. Phys. 47, 1755 (1967).

tion have given the sorely-needed Justification for the reduction of the Pi-electron integrals in the PPP method below the theoretical values. This can be considered as taking account of the effect of screening of the π -electron repulsions by the σ -electron distribution. In heterocyclic molecules, there is no reason to expect the similarity between radical anion and radical cation spectra suggested for the alternant hydrocarbon systems.¹ In particular, in calculating the

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22) H. C. Longuet-Higgins and J.A. Pople, Proc. Phys. Soc. (London), 468, 591 (1955).

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electronic properties of the azine radical cations, some thought must be given as to whether the missing electron has been removed from a lone pair orbital, or from a pi-orbital. However, for the radical anions, the additional electron enters a pi-antibonding orbital, so that the use of a pi-electron calculation in predicting electronic excitations is

Sustitua,

Input wavefunctions for the PPP calculation were obtained from Huckel calculations on the appropriate neutral heterocyclic molecule, using conventional parameter values. As a variant on this approach in some preliminary calculations, wavefunctions from a 10-iteration W-technique calculation on the actual radical anions were used as input

15) A, Streitwieser, "Molecular Orbital Theory for Organic Chemists",

John Wiley and Sons, Inc., NY. 1961, pp. 115,

to the PEP program. However,

the comparative "weakness" of the W-

technique in making electron density corrections to the coulomb integrals

was shown up immediately, Thus, after one iteration of the PPP calculation identical results obtained from Huckel and @-input wavefunctions:

The parameter values which were used,

ere Listed in Tebie 1,

tion,

4 in the PPP calculations

A

Matted contiguration interaction (CI) $\approx 8s$

?the groun:

Ground state end'5 excited doublet states

The diagonal and oft-as,

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Pf

the relatively limited configuration interaction,? it ds not suxpricing

2s) N. L. Allinger ena 7, W, Stuart, J. Chom. Fhys., 47, W611 (1957).

that CI actualy couosed a slight deterioration of the egreenent between
theory end experiment, The CI velues are therefore not reported in this
paper.

RESULTS AND DISCUSSION

PRDDE

The spectrum shown in Figure 1 illustrates the absorption bands produced on radiolysis of MTHF solutions of pyridine. The 1200 nm band corresponds to the position of the trapped electron band in pure MINP. The band at 340 nm is characteristic of pyridine in MiP. Liberation of electrons by photolysis into the trapped electron band is expected to increase authentic radical anion absorption bands, as the liberated electrons are captured by unreacted neutral solute molecules. Bleaching the trapped electron band in irradiated pyridine-HTHP solutions causes an increase in the band near 340 nm, as shown in Figure.1, Addition of 2×10^5 trifluoroethanol, an electron

scavenger, to the pyridine-i solution prior to irradiation results in the non-appearance of the 340 nm band, Figure 2 shows the dependence of the absorption at 340 nm and at 1200 nm on the initial pyridine concentration. The net absorption at 1200 nm falls off very sharply with decreasing pyridine concentration, reaching a limiting (but non-zero) value at about 1×10^{-7} M pyridine, this suggests that the efficiency of pyridine for competing for electrons against solvent traps is fairly high, simultaneously the 340 nm absorption decreases, but reaches a limiting

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Value at a greater pyridine concentration, This is due to a decrease in the underlying trapped electron and associated methyltetrahydrofury radical absorptions in the 340 nm region, counteracted by a build-up
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15) F.S, Dainton, and G. A. Salmon, Proc. Soc., London A. 285, 319 (1965).

ee

in the pyridine 540 nm absorption, At concentrations greater than

4×10^{-4} , a component of the absorption can also be observed with a maximum at 500 nm, Only in the concentration region 8×10^{-5} to

4×10^{-4} does bleaching the trapped electron band result in an increase in the 340 nm band,

The fact that the 340 nm band can be increased on bleaching the trapped electron band, and is decreased on addition of an electron scavenger, demonstrates the presence of an anionic intermediate of Pyridine, This is believed to be the pyridine radical anion, formed by the attachment of an electron to pyridine, The assignment of the 340 nm band to the pyridine radical anion is supported by ϵ number of

independent observations, discussed below. However, the fact that an increase in the 310 nm absorption following trapped electron bleaching does not occur at high pyridine concentrations requires some discussion. One explanation is to assume a weak optical transition of the pyridine anion at wavelengths greater than 1000 nm, which can give rise to a photo-ejection process. Thus, the bleaching process at pyridine concentrations greater than 4 x 10⁻⁷ M would cause two competing processes:

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capture of electrons released from matrix traps, and photo-ejection from pyridine anions. Where there is a low trapped electron yield,

the photo-ejection process dominates the capture of trapped electrons released by photostimulation, and a decrease in the 340 nm absorption results. Supporting evidence for the existence of a long wavelength transition of the pyridine anion may be taken from the appearance of the limiting concentration yields of the trapped electron band in Figure 2. The non-zero value of the absorption suggests that the extinction coefficient of the pyridine anion at 1200 nm may be as high as 204 of the value at 340 nm,

To confirm the assignment made in MTHF, and to investigate possible emission from irradiated samples, a series of studies were made in a 3-nethylpentane (uP) matrix at 77°K. The advantages in using this

matrix are that the trapped electron band occurs at a longer wavelength

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(2,700'na), and that recombination luminescence has been observed.

36) D, W, Skelly and W. H, Hanill, J, Chem, Phys., 43, 3497 (1965), and

W. Hy Hani, private comunteation,

1]) A, Dérouléde end F, Kieffer, Nature 215, 1475 (1967).

1} Se Gperey a. ante: Dees eee cease, send

Acad, Sci, Paris, 264, 1013 (1957).

Figure 3 shows the absorption spectrun at 77°K following radio-
lysis of pyridine in MP glass. In addition to the trapped electron band,
absorption maxina ere ebserved near 1200 rm and at 360 ma, with an ine
flection near 500 ma, ALL of these bends aisappear on varming the ecuple,
If the sample is allowed to decay isothermally in the dark for 8 hours,
the trepped electron band is reduced to less then 104 of the origin)

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19) J. B. Galliven and W. H. Hamill, J. Chem. Phys. 44, 1279 (1966),

Simultaneously the bands at 1200 and 360 nm become more pronounced, as shown in Figure 3, The absorption at 1200 nm after decay, which are clearly in excess of 10⁴ of the original absorptions, confirm the existence of a long wavelength transition of a pyridine intermediate, suggested earlier, The failure of the 350 nm band to increase after escape of trapped electrons suggests the presence of an additional intermediate which acts as an electron scavenger in the MP matrix, Some preliminary luminescence observations of the pyridine MP system may be reported here since they are pertinent to the discussion of pyridine intermediates and are novel in their significance, On warming solutions of irradiated pyridine-MP glasses luminescences were observed, In addition to the solvent emission band at 425 nm, 9

20) M. Burton, M. Dillon, and R. Rein, J. Chem. Phys. 41, 2228 (1951).

structureless emission band at 355 ± 10 nm was observed, lasting up to 10 minutes after removal of the liquid nitrogen. This emission band was absent in pure MP glasses. Use of GIC purified pyridine gave the same result. The warm up luminescence obtained from an irradiated MP glass containing pyrazine, the fluorescent impurity in pyridine, had a maximum at 38045 nm. Phosphorescence of pyrazine occurs near

380 nm. Therefore, the emission observed with pyridine-MP glasses

21) L. M. Logan and I. G. Ross, J. Chem. Phys. 43, 2903 (1955).

is associated

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is associated only with pyridine and not a contamination. The wavelength

corresponding to the maximum of pyridine phosphorescence can be

estimated from the oxygen perturbed singlet-triplet absorption spectra

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to be greater than or equal to 337 m." Thus, the emission may be due

22) D. F. Evans, J. Chem. Soc., 3385 (1957).

to pyridine phosphorescence following recombination reactions. The direct phosphorescence of pyridine via intersystem crossing from an excited singlet state has apparently not been reported yet. The above interpretation requires the presence of the pyridine cation radical, which we have reported previously²⁵ to have λ_{max} at solely 380 nm. The observed band at 360 nm may thus contain unresolved components of both the anion radical (340 nm) and cation radical (380 nm) absorptions. The formation of both anionic and cationic intermediates in MP has been suggested by other workers.²⁵ The decrease in the 360 nm band on decay of the trapped electron band would then be attributable to the disappearance of the cation component. The long lifetime of the observed emission band suggests that, in addition to electron-cation recombination, phosphorescence can be produced by anion-cation recombination processes at higher temperatures,

Table 2 gives a summary of the transitions attributed to the
Pyridine radical anion in this work, and the experimental assignments

5,6

of Hush and Hopton,^{1,2} and Kimmel and Strauss.³ The agreement on the

Position of the near uv band is excellent, considering the very different

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Experimental techniques. Thus Hush and Hopton's (HH) results refer to
Solution in tetrahydrofuran at room temperature, and Kimmel and Strauss
(KS) results refer to Methylcel solutions. These other workers
observe additional shorter wavelength transitions which cannot be verified
under our experimental procedure. The theoretically predicted doublet
doublet transitions of the pyridine radical anion are collected on
the right of Table 2. Our results, which do not include CI, give high
oscillator strengths as expected, but are otherwise in better accord
with the experimental results. The band at 3.7 eV is calculated at
4.29 eV, whereas KS results give 3.07 eV, and HH have no corresponding
band. The band observed by HH at 5.08 eV and by KS at 4.75 eV is calculated
by HH at 5.25 (allowed band) eV, by YS at 5.26 eV, and by us at 5.40 eV.
The suspected band at 2.5 eV (500 nm) corresponds to a predicted pyridine
anion absorption at 2.66 eV (il) or at 2.25 eV (this work). Finally,
our calculations alone suggest a forbidden long wavelength transition.

By using the extinction coefficient of HH for the 3.37 eV band, we estimate a G value of 2.7 for the production of the pyridine anion at

high pyridine concentrations,

PYRAZINE:

Figure 4 shows the absorption spectrum at 77° after γ -radiolysis

of pyrazine in @ MTP glass, and the result of bleaching the trapped

electron band. An absorption maximum is produced near 345 nm, and in a suitable concentration region, an increase in this absorption is produced

by bleaching the trapped electron band. The concentration dependence of

these bands have not been studied extensively, but it has been observed

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that the position of the absorption maximum increases with increasing initial

concentration of pyrazine at constant dose. Specifically, @ a wavelength

of 320 nm is found at a concentration of 1×10^7 , which increases

monotonically with concentration to a max of 345 nm at a concentration of 10%. We attribute this effect to an artifact of the technique of determining spectra. Difference spectra were obtained by subtracting the initial glass spectrum from that after irradiation. This ensures no decrease in the near UV bands of the parent heterocyclic during radiolysis. Pyrazine has been found to have a λ_{max} of 315 nm in MTP, in comparison with the value of

6,23

328 nm in cyclohexane. This marked blue shift characterizes

the n-

* nature of the transition,

25) M. Kasha, "A Symposium on Light and Life", Johns Hopkins Univ. Press, Baltimore, 1961, p. 31.

?Thus, depletion of pyrazine at low initial concentrations can cause shift in the apparent position of the nearby absorption of the pyrazine intermediate, This was demonstrated further by repeated irradiation of the same solution to successively higher doses. On obtaining difference spectra in the usual manner, a shift in the

λ_{max} to shorter wavelengths with increasing dose was observed. The position of the nearby band of the radiation induced intermediate

of pyrazine is thus assigned to be equal to or greater than that observed at the highest concentration studied. As a result of the

above observations, an absorption maximum at 345 nm is assigned to

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of the pyrazine anionic intermediate of pyrazine, This is believed to be the Praing Fedieel anion, formed by electron attachment to pyrazine. The general features of the concentration dependence of the spectra show qualitative similarities to that observed for pyridine, At high concentration (7×10^{-7} M) a maximum is resolved at 340 nm, Also at these concentrations the 340 nm band decreases on bleaching the trapped electron band, This suggests the existence of an unobserved long wavelength transition of the pyrazine anion,

Table 3 compares the above assignment for the pyrazine radical anion with the results of 12° ena x5.? Again there 4s substentian accord among the three groups of investigators for the near uv bend, renenbering that our experimental value represents en upper linit to the transition energy. Again the theoretical excitation energies Provide a reasonable account of the observed transitions, All three calculations give excellent accord with the high energy transition near 5 eV. For the band near 3.5 eV, KS (3.15) are in good agreeent, whereas our value of 1,70 eV is very high, and that of Hi ds very lov (2.90 ev), This letter value may be related to the suggested 2.45 eV (500 nm) band, for which we calculate 2.28 eV. A forbidden long waver Jength transition is predicted near 0.5 eV, By using the extinction coefficient of Hii for the near uv bend, we estimate a G value of 1.7

for the production of the pyrazine enion at high pyrazine concentrations

PRDGDE

Figure 5 illustrates the ebsorption bands produced by raticlysis

of pyrimidine in MTHF at 7°K, and the result of leaching the treyped

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hg

electron band. An absorption maximun is produced near 320 nm, which is increased by bleaching the trapped electron band for a suiteble initial pyrimidine concentration, As for pyrazine, a shift was observed in the

position of the λ_{max} with increasing initial pyrimidine concentration at constant dose, This effect is again attributed to depletion of the underlying neutral pyrimidine absorption, The band 4s assigned a λ_{max} of 320 nm, in MTF at 77°K, For concentrations above 2×10^{-4} M, # further absorption. band can be resolved near 300 nm,

Table 4 compares our results for the pyrimidine radical anion with those of Hf? and 13,? Again our experimental transition energy for the near uv band is very slightly higher than the values of these other workers, for the reason explained earlier, Our theoretical calculation gives @ predicted band at 4.04 eV, in better accord with the experimental value of about 3.8 eV than the result of Hi (2.8 eV) or of KS (3.01 eV). For the band near 5 eV, the values of HH (5.09 or 5.47 eV), those of Ks (5.36) and our value of 4.73 or 4.94 eV are all in reasonable accord with experiment, In addition, the existence of a transition near 3 eV (400 nm) reported by us is supported by calculated transitions at 2.86 eV (#i), 3.02 eV (ks , but may refer to the 3.8 eV band), and at 2.53 eV (?this work). A virtually forbidden long wavelength transition 4s again Predicted, Using the extinction coefficient of Hit for the near uv bent, we estimate a G value for the production of the pyrimidine anion of 2.1 at high pyrimidine concentrations,

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PRDZDS

Figure 6 shows

Pyridazine in y

absorption bands produced by irradiation

at 77°K and the result of bleaching the tr:

Electron band, fine absorption band (s produced with dex at 35):

intensity is increased on bleaching the trapped electron band,

radical anion, Figure 6 also shows ot?

et 900 ma, end between KOO end 700 my

?The data in Teble 5 indicate the excellent egress

hear uv band of the pyridazine radical anion enong the different

Groups, Our theoretical excitation energy of 4,54 eV does not e:

very well with the experinental value of 3.5 - 3.6 eV. The value of

XS (3.20 eV) is setisfactory, but Hi heve no closely corresp

nBing

and, The bend found by Hi at 5.13 e¥ is satisfactorily eccomted f

in all three calculations

In addition, the possible existence of:

at 2-3 eV (4100-700 nm) and at 1.75 eV is partly supported by &

calculated band at 2.73 eV (ii) and at 2.15 eV (this work). Using

the extinction coefficient of E_i for the near UV band, we estimate

8G value of 0.8 for the production of the pyridazine radical anion:

caution

The results of these investigations, taken jointly with the

measurement of authentic radical anion spectra by other workers[®]

of workers, demonstrate the formation of the pyridazine radical anion {2

pyridazine radical anion} by the electron attachment process

Azine + e⁻ → (Azine)⁻.

Spectra of the four azine radical anions

The experimental absorption

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= us.

are therefore fairly well established, the reasonable record between

the experimental transitions and the theoretical transitions, in the

frontier-orbital approximation, confirms that the additional electron enters

a 7^{*} antibonding level. In the present calculations, no direct

account was taken of the J-reorganization expected as a result of

this additional electron, We feel that specific inclusion of this

effect would provide a more adequate account of the electronic structure

of the radical anions, and are currently investigating this possibility,

The present thermoluminescence results suggest a pyridine phosphorescence

near 305 nm, If this assignment proves correct, then a fruitful series

of spectroscopic investigation will become possible. Since many

compounds have little or no phosphorescence, such as pyridine due to its

negligible intersystem crossing efficiency, the production of triplets

2h) J. Lemaire, J. Phys. Chem. 71, 612 (1957).

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via ion recombination may well provide the only method of characterizing the phosphorescence, Extensive work is in progress not only to substantiate the pyridine emission, but also the chemoluminescence from other non-phosphorescent compounds.

ACKNOWLEDGMENTS

This work was supported by a research grant from the Division of Biology and Medicine of the U.S. Atomic Energy Commission, We also wish to acknowledge the kindness of Professor Hush and Professor Strais in communicating unpublished results, to thank Professor Hontela for helpful discussions.

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TABLE 2

PARAMETER VALUES IN PARISER-PARR-POPLE CALCULATIONS

Motecule

Pyridine, Pyridine

Radical Anion

Fyrezine, Pyrazine

Radical Anion

Pyrinidine, Fyrintaine

Radical Anton

Pyridezine, Pyridezine

Radical Anion

Coulonb and Resonance

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ig, Hog = -h2.374

iy, = -k2.303

Hy = -2.62

Tigg = -2.29

Hygy * ~52.502

Hoar Haz» Hess

2 gg? ?6

Hoy = 2.62

Yop * 2.29

Hygit ~50.736

Byp © -t2.862

Hy > Hyg = 2.610

Hy, = -H2.550

Roy = -2.62

gg = -2.29

Figg = 048.755

Hopp Hg = -he.595

Byyy gg * -42.to3

Bygy © -2.25

Roy, © -2462

Bigg = -2.29

Repulsion Integrals (ev)

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fe), (jee) TIO

$$ae \text{ y } eg) * = 5.608$$

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?ul), 1»

(ev de efi (33/55),

$$(22/55), (33/66) = 4.950$$

$$(Qiai/iai) = 12.340$$

$$fes/e2) = 11,230$$

za), (11/66), (5/88),

On), On/5) (al,

$$22/55) = 540:$$

$$(22/66), (33/55) = 5. 3^\circ$$

$$ray/iat) = 22,340$$

$$lecfoc) = 11.330$$

?Gal, (22/68), (22/53),

$$= 75776$$

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af 4 eres, ?diy = 54560

22/55)

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TAB 2

TRANSITION ENERGIES (eV) FOR THE PYRIDINE ANION

Experimental values Calculates values

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(scillator strength values in parenthesis.

TABLES

TRANSITION ENERGIES @V) FOR THE PYRAZINE ANION

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Oscillator strength values in parenthesis.

TABLE 4

TRANSITION ENERGIES (eV) FOR THE PYRIMIDINE ANION

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asrase 51429 5.0L 5.3601) ATL

5.47 C060 a mciR

Oscillator strength values in parenthesis,

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= 48 =

TABLE 5

TRANSITION ENERGIES (eV) FOR THE PYRIDAZINE ANION

Experimental values Calculated values

Ref. 6 Ri This work Ref. 6 Ref. 7 This work

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23 2.73 .05)) 213.6169)

3.521.052) 3.64 (065) 35 3.20 032) 4,54 (185)

4.59 (030) 4B 1.235)

5.13 (182) 5.24062) 5, 63 (125) 5.27 (210)

Oscillator strength values in parenthesis.

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Figure 1

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Region where uv

0-0] band increases on

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Figure 2

Concentration dependence of absorption bands produced

on radiolysis of Fyridine in TIP «

° dose = 2.9×20 ov/t

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Figure 3

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?of Pyrazine, $2.0 \times 10^{\circ}\%H$ sn Mere.

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Figure 4

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Figure 5

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Figure 6

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APPENDIX 2

Accepted for publication by the Journal of the
?American Chemical Society

HETARYNE INTERMEDIATES

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ABSTRACT:

The electronic structures of all of the possible 1, 2, 1,3, and 1,4 =
aromatic intermediates derived from pyridine and the diazines (boteryns
cs) have been calculated using the extended Hückel theory (HT)
opytidines, it is found that of the six possible isomers, the 3,4
is the most stable, and the 2, 6-dihydroxyridine is the least
stable. It is also predicted for 4,5-dihydroxyridine and
the 2,6-dihydroxyridine.

For the
2,6-dihydroxyridine
is the most stable. Great relative stability
of the 4, 6-dihydroxypyrimidine, The complex co
stabilities can be rationalized
of the enol form interactions among neighboring redfield

tic

orbitals, A dominant effect is shown to be a nitrogen lone p:

of nearby radical lobes. The calculated stability sequences and total electron

total data

distributions provide an excellent correlation of the available experimental

stability and orientation effects in the heteroatom intermediates,

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The chemistry of dehydroaromatic intermediates derived from heterocyclic

molecules has been actively investigated during the last decade. Numerous papers

have been published on this subject, together with extensive reviews by den Hertog

and van der Plas¹, by Kauffmann², and by Hoffmann³. Particular attention has

been focussed on the 1,2-dehydroaromatics derived from nitrogen heterocycles

such as quinoline, isoquinoline, and the various diazines. These inter

mediates

are usually formed by the dehydrohalogenation of the corresponding halo-

substituted heterocycle on treatment with a strong base. The two adjacent radical

lobes in the 1,2 intermediates are thought to overlap appreciably, forming a

partial triple bond in the heterocyclic ring. These species have been designated as

1,2-dehydroaromatics. In principle, 1,3- and 1,4-dehydro-

hetarynes. The possi

aromatics, in which the radical lobes are respectively in meta- and para-

tions. However, no such intermediates appear to have been reported to date.

The only member of the 1, 3-series that has received some attention is 2,6?

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Here it has been postulated that conjugation through the

dehydropyridine

nitrogen lone pair, which is flanked by the two radical lobes, should provide

the necessary stabilization for the formation of such an intermediate.

In comparison to the dehydrobenzenes, the hetarynes are considerably more

complex. While benzene can only form one 1,2- dehydro intermediate, pyridine

can form either 2, 3-dehydropyridine or 3,4-dehydropyridine. OF these two pos

sible heterocycles, 3, 4-dehydropyridine is formed preferentially in the dehydrohalo-

genation of 3-halopyridine. It has only been possible to prepare 2,3-

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dehydropyridine by blocking the 4-position by substitution. While the symmetric
dehydrobenzene intermediate gives a single product on reaction with a nucleo-

phile, the unsymmetric 3, 4-dehydropyridine can form two products, by substitution

at either the 3-position or the 4-position. The preferential attack at the 3-position

in 3, 4-dehydropyridine provides some evidence for an important orientation

effect. Very little theoretical work seems to be available on these interesting

heterocyclic intermediates. For this reason, we decided to examine a number of

dehydroheteroaromatic molecules, using all-valence electron calculations of the

extended Huckel type (EHT)?

THEORETICAL ANALYSIS

Extended Hickel calculations? on the six possible didehydropyridines result

in the following stability order.

Oo O

500.23 oV -499.74 oV -499.69 oV

A B c

499.41 oV 499.51 0V 496,53 oV

o E F

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; Ih conticat to-a previous simple Hickel ecleuly.

fon, which indicated the 2, 3-dehydropyri

(0) es the most stoblo®, the 9,4.

dehydropyridine (A) clearly emerges os the most stable isomer. ?The 2, Sedshydio

isomer in fact is so dest

ized that it appears in our calculations with a higher

energy than some meta- and para-substituted benzenes.

This agreement with one of the few experimental facts known about the

stability of benzenes is gratifying. However, the above ordering of stabilities is initially

puzzling. An explanation

of this stability sequence must begin with a review of

what is known of the electronic structure of the benzenes themselves.¹⁰

When two hydrogen atoms are removed from the aromatic ring, two orbitals may be

pictured as remaining. These orbitals each formally contain one electron, and they

are termed the radical lobes m_j and n_j . The radical lobes can be combined to

form wavefunctions $m_j + n_j$ and $m_j - n_j$, which are respectively symmetric

(S) and antisymmetric (A) with respect to the two-fold rotation which interchanges

m_j and n_j . In the absence of any interaction, $m_j + n_j$ and $m_j - n_j$

are degenerate. Direct overlap between π and π (through-space) and indirect interaction with other π and π orbitals (through-bond) removes the degeneracy of the π and σ molecular orbitals. The magnitude of the energy splitting between π and σ is a direct measure of the quantum-mechanical interaction. It is of controlling influence as to whether the ground state of the dehydrobenzene should be a singlet or a triplet state.

The magnitude and direction of the calculated splittings were surprising

we found σ below π by 1.52 and 0.92 eV

Thus for ortho- and meta- benzyne

respectively; while for parabenzyne σ was below π by 1.44 eV. These results

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together with other unexpected splitting patterns led us to an interpretation of each

splitting as a superposition of a direct through-space coupling and an indirect

through-bond coupling, The through-space coupling always puts the positive overlap combination at lower energy. This may be so depending on whether the radical lobes are in a cis- or a trans-arrangement. The through-bond coupling leads to a lower A level when the radical lobes are separated by an odd number of bonds, and to a lower S level when they are separated by an even number of bonds!

We will construct two models for the analysis of the energy level patterns of the dehydroazines and dehydrodiazines. In the first model the energy levels of the dihydroazines are considered initially, and the subsequent

tridehydro- and tetrahydrobenzenes are considered initially. The introduction of nitrogen atoms is treated as a small perturbation. The second model takes the stability ordering of the monodehydropyridine intermediates, and treats the subsequent dehydrogenation as a small perturbation,

There are three tridehydrobenzenes, each having three nearly non-bonding molecular orbitals. The tridehydrobenzenes can be labelled 123, 124, and 135 in the obvious notation, and the non-bonding orbitals designated as X_1 , X_2 , and X_3 , and

?Xq in order of increasing energy, os below.

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135

10.59 eV A

-.s9 + 5

112.535 @

The wave functions X con be

classified es \$ or A under the two-fold rotation interchanging orbitals 1 ond 3

tn 128 end 135. For 124 thor smo two-fold ans, so thatthe bites are

simply C'-orbitals,

We would anticfpate that the molecular orbitals formed from the radical

lobes mj 4 np 4 nd ng in 123 should fall into a typical ellylic patten

Xs vom = ene

ng S

% ~ my = ny A

Ho ~ 4 + olny 4 ng s

and this they do. For instance, 1 is about 94% localized on atoms 1, 2, and

3 more has the following coefficients (axis normal to the molecular plane

and z-axis along Co-Ce) @ to the molecular plane

4 @P, 0.4205 0.000

1 @p, 0.2000 0.5499

ej @ 0.1262 0.0474

@ al.) ~ 0.4205

?3(@py) 0.2000

2302) 0.1262

The molecular orbitals ψ , X_p and X_g of 123 can therefore be sketched

8 follows

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lo

?The splitting patterns found for the benzyne indicated that for an ortho-interaction

of two radical lobes the \$ combi

was stabilized with respect to the A com=

ination, for @ mats-interuption the S combination was also favored, whereos for © parg ?interaction the A combination was favored. From the above diagram we see that %X hos two favorable (6) ortho-interactions (ny: ng and ng: ng) and one favorable (S) mete-interaction (ny :ng)» ??%g has one unfavorable (A) mete-interoction, while Xg has two unfavorable (A) ortho-interactions, ond ?one favorable (5) meta-intoroction, By Using the symbols (+) ond (-) for favorable ond unfavoruble interactions, we can summarize the energetics of the

?bove Interactions os

X% - + °

% ° 9° o

% + + °

For the molecule 124 the orbitals %, , Xp ond Xq ore less stoight=

forward fo construct, A logical approach is to generate the orbitals by ellowing

the combinations n_j and n_g to interact with n_g . The primary interaction is

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token of a through-bond coupling of n_j and n_g which places σ combination $n = m_4 (A)$ below $n_j + m_4$. This corresponds to the stabilization of the A combination by the through-interaction in the benzyne, and the fact that the meta-interaction between n_p and n_g should be much weaker. The usual interaction

rule, derived from perturbation theory, it invoked - namely if two orbitals interact,

the lower mixes in the upper in a bonding way,

but the upper mixes in the lower in an antibonding manner. This

is shown below,

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The actual molecular orbitals have the predi

composition

$$X_s = \textcircled{c} (\text{nyo my}) + \text{mg}$$

$$X_p = (\text{en tmz}) \#04 + (\text{gre boy} \sim \text{mg}) = \text{emg} + \text{m4}$$

$$\text{xyz elm tm}) = \text{my}$$

The interactions may now be enumerated as was done above for the 123 system, therefore
athe asia pera

$$\%, \circ + \circ$$

$$\% + - +$$

The orbitals for 135 follow directly from symmetry. Three equivalent

symmetrically disposed orbitals must interact to yield a totally symmetric combina~

tion, and a degenerate orbital pair? the degenerate orbitals may be chosen

arbitrarily, and the particular combination illustrated below is picked to reflect

symmetry on rotation around the $C_2 = C_4$ axis,

CF

0

x, degenerate X.

3

?The energetics of the interactions in this case are

ortho e's) pom

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Referring back to the extent of the stabilization produced by the ortho-,

meta, and para-interactions in the benzyne? In terms of the energy splitting

produced, gives $E(\text{ortho}) = 1.52\text{eV}$, $E(\text{meta}) = 0.92\text{ eV}$, and $E(\text{para}) = 1.44\text{ eV}$. The use of these values, and the previous interaction schemes, predicts

the following energy ordering for the nine orbitals of 123, 124, and 135:

23) $E(135) < E(124) < E(123) < E(124) < E(135)$

The actual calculated energy values have been given earlier. They are in exact agreement with the above sequence, supporting the analysis of the interactions.

In the trihydrobenzene radicals, the radical lobes are each formally occupied by one electron. Of greater significance to the present analysis are the trihydrobenzene cations, with two electrons in the non-bonding level, and, more importantly, the anions, with four electrons. The total computed energies

for the trihydrobenzene cations are

123 ~462.81 eV

24 461.48 eV

135 7462.06 eV

The stability ordering of these total energies is clearly in qualitative agreement with the ordering of the one-electron orbital energies. The total energies of the trihydrobenzene anions can be obtained from the cation energy values by adding

twice the one-electron energy of the appropriate χ_p orbital. χ_p of 124 is of

much lower energy than the other Xp orbitals, so that the final anion energies

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ore 05 follows

123 483,99 eV

24 404.50 eV

135 483.26 eV

The importance of the tridelydrobenzene anions is that we now suppose that the stability order is approximately preserved if the isoelectronic substitution is made

of aN for a C~, to form the didehydropyridines,

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One of the radical lobes is now formally occupied by @ nitrogen lone pair. the

stability ordering $E(124) < E(23) < E(135)$ for the tridehydrobenzene

anions thus implies the following grouping of the dihydropyridines, under the

above assumption

$ABO < OF) CE$

A further ordering in each group can be made by simply assuming that the nitro=

group preferentially enters the position of highest electron density in the

tridehydrobenzene anion. For 123 and 124 these charge densities are

123 124

40 that this would predict the ordering $A < CCBCD < FK < E$.

quite close to the actual alphabetical ordering obtained by the direct calculation.

We now turn to the second model for the stability sequence of the dehydro

pyridines, Table 1 below compares the energies of the $n_j + n_o(S)$ and $n_j - n_g$

(A) molecular orbitals calculated for the benzyne, monodihydropyridines, and

digazines. In the benzyne the radical lobes are each formally occupied by one

ines three electrons are shared between the radi=

electron, in the monodehydroxyt

es the "radical lobes" are fully occupied with four elec~

cal lobes, and in the

trons. For example, the ortho~ series is

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Table I: Energies (in eV) of Non-Bonding Orbitals in

meta, and para~

Benzynes, Monodehydropyti

ines, and Diazines.

Benzyne ?Monodehydropyridine Dioxine

ortho- 10.19 A 1,00 "A" 712.40 A

s ase 213.39 _s

Lael 0.99

meto~ A "An ?12.58 A

s "s" 213.39

FI 0.81

pora= -10.37 \$ -10.83 "s" 712.58

HIL.el_ A 713.24 "A" -13.85_ A

JOEL 14 2.Ai 1.67

It should be noted that whereas symmetry requires the relation $c_j = t \phi$ in the molecular orbital ϕ_j in the benzenes and diazines, there is no such restraint for the pyridinyl radicals. If ϕ_j is on N and ϕ_k is on C, then invariably ϕ_j is on N), and

the lower energy orbital has $H_{jj} > H_{kk}$ (considerable local

the higher energy orbital has $H_{jj} < H_{kk}$. However, the molecular orbitals are

such that they can be identified as approximately "S" (e) same sign (e) and

"A" (e) opposite sign to "S" (e) -

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--6-

in all cases the ortho- and para

interactions. The specific trends are not completely understood by us, though it

interactions are greater than the meta

is clear that the relative efficiency of through-space versus through-bond interac

tion must be involved. Particularly interesting is the greater magnitude of the

"homonuclear" benzyne and

splitting in the "heteronuclear" pyridinyl case over #

diazine splittings. This can be attributed to the separation of the

and N orbital energies before the interaction is "turned on". In confirmation

of this, we obtain large splittings in the following molecules, which are isoelectro=

nite with the benzyne

cond diazine systems results in the upper molecular orbital (A in this case) being

destabilized less than the upper molecular orbitals for extho- (A) end paro~ (6)

oriented lobes, The consequence is that when two electrons occupy the non-bonding

fiazine dicotion

molecular orbitals, os in benzyne, monodehydropyridinyl cation, and

the ortho-tomer (benzyne) or the paratisomer (pyridiny!, diazine) is the most stable.

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However, the mete-isomer becomes progressively stabilized relative to the other

isomers by the addition of one, and two further elections. The actual enemgeties

?are summarized in Table 2.

Table 2: Total Energies (in eV) of Benzynes, Monodehydropyridines, and Diczines

© 6 Function of the Number of Non-Bonding Electrons.

2eelectron cose

benzyne pytidinyl * diazine**

° (492.58) 507.76 520.75

fy ~491..99 -507.68 521.45

P 492.37 508.17]

3relectron case

benzyne? diozine*

° (502. 518,75 533.15

m 502.58 518.84 534,03

P ~502.74 517.00) (300g

Arelectron cose

diozine

benzyne ?~

° 512,96

m (513.17)

P -513.11

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Our primary conclusions are based on the results for the neutral pyridinyl

(B-electron) and neutral dioxine (4-electron) systems. The pyridinyl results imply

orientation,

that a lone pair para to a radical lobe is more stable than the met

which is more stable than the ortho-orientation. The diazine results further sug~

?gest a strong destabilization of two adjacent nitrogens (lone pair repulsion?) and

4a stabilization of a meta-interaction of two lone pair orbitals.

We can now examine the didehydropyridine results in the light of the above

considerations on lone pair-radical lobe interactions. The number and type of

N-radical interactions in the various didehydropyridines are listed below.

N-radical Interactions

Structure ortho meta para,

A ° 1 1

B 1 ° 1

C 1 1 °

D 1 1 0

E ° 2 0

C 2 ° °

Taking the above stabilizing effect para > meta > ortho, gives the energy sequence

ACBLE < C, DCF. Only the order of E and C,

D is inconsistent. This can

be explained by the presence of a stabilizing ortho radical p-orbital lobe interaction

OF the benzyne type present in C and D, but absent in E.

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See now turn to the data

then possible dehydrodiazines exhibit the following stability sequence

CRE &

- 507.55 eV ? 506.83 - 506.74 - 506.63

G'

508.10 - 507.87 - 507.39 - 507.05

K L M N

ND ND N>

~ 507.57 - 506,68 -506.43

° P Q

see 3a, WE

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Once again the analysis is two-fold, We begin by considering the three

a

OBO

1234 1235 1245

The molecular orbitals of 1234 and 1245 are essentially determined by symmetry.

tetrahydrobenzenes

We write them as), Po, Pg and by inarbitrary onder, since

their final energy ordering is not obvious in every case. For 1234 the symbols \$

and A refer to the symmetty operation interchanging nj ond ny, and ng

ond ng . For 245 the symbols SS, AA, AS, and SA refer first to the symmetry

operation interchanging nj and ng, and ng ond ng , then to the symmetry

operation interchanging ψ_1 and ψ_2 , ψ_3 and ψ_4 . Note the obvious and not accidental resemblance of the ψ orbitals of 1,2,3,4 and 1,2,4,5 the T-orbitals

of a butadiene.

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ψ_1 ψ_2 ψ_3 ψ_4

Of

CG ψ ψ ψ ψ

6 0 @

ψ_1 , (ψ_2) ψ_3 , (ψ_4) ψ_5 , (ψ_6) ψ_7 , (ψ_8)

The composition of the 1,2,3,5 molecular orbitals is of the type ψ_1 , ψ_2 , ψ_3 , ψ_4 , ψ_5 , ψ_6 , ψ_7 , ψ_8 . They emerge

from the combination resembling a set of cyclobutadiene

Le & &

ψ_1 ψ_2 ψ_3 ψ_4 ψ_5 ψ_6 ψ_7 ψ_8

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We now estimate the favorable and unfavorable interactions for each of

these molecular orbitals as was done for the trihydrobenzenes.

eri) mete Pore,

? 000 + +

©) \$3 -G-) = -

1234 WW G +444 = +

©) Ae + -

6) too + =

1235 ? gs 9 od 0

) fo 0 0 +

6) + +t -

(AA) boo - -

rus 6A) fe - + +

as) $B_0 + 4 = 3$

(5) $+4 +4 _$

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Recalling that an ortho= of para-interaction is more stabilizing than @ my

interaction, we would predict for 1234 the energy orderin

ra P roy ordering $B_L < h_y < G_y$

<b yr wri Zo P, < Py < Gy, endtorias J, < g,

~ d3 < P4- These conclusions are confirmed by the calculated energy

evel orderings (in eV),

1234 1235 1245

-9.78 (S) 9.58 (5) -9.29 (AA)

10.04 (A) 10.59 (A) -U1.49 65)

11,83 (A) -1.78 (5) -11.55. (SA)

212.72. () 12.76 (8) ?11.97 (AS)

The calculated total energies for the six electron systems which correspond

to the tetrahydrobenzene dianions are

(1234) dianion ~475.39 eV

(1235) dianion 476,00 eV

(1245) dianion ~476.54 eV

The stabilization of 1245 is essentially due to the relatively low energy of its highest occupied molecular orbital. In fact, the total energy order $1245 < 1285$

1234 is paralleled by the highest occupied level energies $-11.49 < -10.59$

< -10.04 , respectively.

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To obtain the didehydrediazines, we proceed to replace two carbon atoms

by nitrogen atoms in the tetrahydrobenzene dianions. For each type of diazine the stability sequence presented earlier is completely predicted by the above

considerations, that is

(245) < (2H SB

c < oun < os

kK < UM < N

0 <P < @

Placing all eleven isomers on a single energy scale is difficult, since relative weights would have to be assigned to the effects of radical lobe interactions and lone pair lobe interactions.

The second analysis, starting from the stabilities of the

pyridinyl radicals, is quite successful in rationalizing the observed trends for

the dehydropyridazines and pyrimidines (G to N), as illustrated below. However, it fails to distinguish among the dehydropyrazines where each isomer has two ortho and two meta interactions.

Nitrogen Radical Interactions

Structure ortho meta para,

6 ° 2 2

H ' 2 1

1 1 2 1

J 2 2 0

K 2 0 2

L ! 2 0

M 2 2 °

N 3 o '

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We have gone into great detail

the above qualitative analysis to illus

trate how the complex computational trends we have observed are understandable

in terms of qualitative molecular orbital arguments. In a

ion to the molecules

?already mentioned, our actual calculations covered a number of other dicyclic= heterocyclics. The results are summarized in Tables 3-5 below, where the heterocyclics

?are grouped as 1-2, 1-3, or 1-4 diradicals.

Table 3: 1,2

1,2-hydroaromatics

Splitting Total Electron Densities

Molecule E_{total} (V) 2E (eV) fox. Ja.

°,

we 492.58 1.52 4.20 4.20

wee 499.77 0.86 3.77 4.25

KOR ~500.60 1.63 4.35 3.97

@E 505.95 til 3.94 4.10

1 4.29 3.94

7 LOL -506.60 54

OS -506.74 0.89 3.98 3.91

~507.55 1.57 4AM 4M

hE

toe -507.87 1.04 3.55 4M

~505.43 0.04 3.81 3.81

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Table 4: 1, 3-Dehydroaromatics

Splitting Overlap Population Total Electron Densities

Molecule Tool) eee) Thy fe a

IGF 491.99 0.92 0.041 4.21 4.21

NSP -500.13 1.33, 0.034 3.97 4.07

IGP 498.88 0.04 ~ 0.008 3.85 3.85

?or 499.72 0.69 0.047 418 AAS

SP -505.93 LI 0.047 4.01 3.89

?éP -505.29 0,59 0.00! 3.87 3.87

TeP -505.89 0,94 0.046 4.18 4.18

SGP 7506.83 1.15 0.039 3.90 4.02

S6Pr -507.05 0.70 ~ 0.037 3.96 3.41

WSR -2.10 70 0.037 3.81 3.81

?EP 7506.68 0.28 -0.173 4,06 4.06

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Table 5: 1, 4-Didehydroaromatics

Overlap Population Total Electron Densities

Nolecole Frotal (eV) aé lev) Re 7 te

oe 492.37 1.44 -0.119 4.90 4.99

g 500.05 1.43 ~0.108 3.89 4,39

JD 500.99 1.28 -0.110 3.97 4.24

m 506.63 1.22 0.098 3.87 3.87

iy -507.39 1.37 ~0,0% 3.62 4.26

igy 507.57 1.52 -0.097 3.99 3.99

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-B-

of the nitrogen lone pairs has an effect on the energy

Clearly the pos

splitting AE between σ and π molecular orbitals. Of great interest is the fact that there is a consistent correlation between stability and the magnitude of this one-electron energy splitting. Compare, for example, the dehydropyridines in Tables 3-5. The largest splittings are associated with molecules for which we predict great relative stability. These are 3, 4-dehydropyridine, 4, 5=

dehydropyrazine, and 4,6-dehydropyrimidine, which probably have singlet ground states.

INTERPRETATION OF EXPERIMENTAL DATA,

We first turn to the experimental evidence available on the stability of the 1,2-hetarynes. Treatment of a 5-halopyridine with a strong base (usually on

hydrolyzed amide ion) leads to the 3+ and 4- amino-substituted pyridines, as shown in the equation below?

x WR, .

ors A RNT/ RZNH ?> oO + oy?

No 2-aminopyridine is observed in these reactions, unless the 2-position is blocked by an allyl group? 3,4-didehydropyridine must be the preferred intermediate in this reaction, rather than the 2,3-isomer. Naturally, the 2,3-isomer would be expected to be more stable, since two electrons from the radical lobes, together with the nitrogen lone pair can be delocalized over three atoms. This suggestion has been forwarded, resting on the basis of a Huckel molecular orbital

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6

calculation.? As pointed out in the the

ical analysis, our extended Huckel

calculations, which are in agreement with the experimental data, argue against

the utility of simple delocalization over the three adjacent atoms, On the contrary, whenever the nitrogen lone pair is adjacent to the radical lobes, we have seen that the intermediate experiences a pronounced destabilization,

If the accepted mechanism of dehydrohalogenation of S-halopyridines is

considered? >, two reasons emerge for the formation of 3- and 4-aminopyridines,

rather than 2-aminopyridine, The mechanism is

wey

oro *

sau? to

-4o er =e = a

° es ? oO

WR

First of all, the formation of the 1, 2-hetaryne necessitates the removal of a proton by the amide ion to form a carbanion. Two carbanions are possible, the 2-pyridinylium anion, and the 4-pyridinylium anion. We have calculated the relative stability of

these carbanions in the case where X is hydrogen. The results are shown in Table 6, and indicate that the 4-carbanion is 0.08 eV (1.9 kcal) more stable than the

2-carbanion. Fortunately, recent experimental data confirms this stability ordering,

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Table 6: Heteroaromatic Carbanions

E

Parent total (CV)

x 2 529.75 ?

2

oS 3 530.00 =

4 529.83 -

rn 2 -536.04 =

2

oS b 3 ~536.18 _

A 4 ~535.06 _

x

oy 3 -536.78 8.7

4 ~536.85 8.70

a 2 7597.00 9.01

5,

tor. 4 ~537.67 8.94

5 598,07 8.54

S 2 ~537.45 8.81

since base-catalyzed deuterium exchange studies on pyri

?and 3-chloropyridine

indicate that exchange predominates at the 4-position over the 2-position in both

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-a-

4

?60305-"" The carbonions subsequently el

nate halide ion to form the 1, 2-hetaryne.

The combination of the preferred formation of the 4-carbanion, which is the precursor of the 3, 4-didehydropyridine, together with the greater stability (0.83 eV or 19 kcal/mole, Table Sof this hetaryne over the 2, 3-didehydropyridine, accounts for the facts. It is worth reiterating that the predominant factor against the 2, 3-hetaryne is the nitrogen lone pair destabilization of the radical lebes.

In this context, on removal! of the nitrogen lone pair by protonation!* , elkylation?®, or N-oxide fomation'®? "6, deuterium exchange tokes place preferen tially at the 2-position, through the respective 2-pyridinyl earbanions. Again these

experimental results

harmony with EHT calculations, since the results of

Toble 6 ii

cate comparcble stability for the 2- and 4- carbantons once the nftro= ?gon lone pair has been protonated.

?Although little information is available on the 1,2-dihydro intermediates derived from the diazines, some excellent experimental work has recently been reported on base-catalyzed deuterium exchange in these molecules! the half-lives (in minutes) obtained for the exchange, using 0.23M sodium methoxide in

Oxide deuteromethanol at 165°C are

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Inspection of Table 6 shows again that with

in each diazine the relative order of

exchange half-lives is correctly predicted by the carbanion stabilities. The

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Comparison of the total energies of carbanions from different diazines cannot be made, since the total energies are dominated by the relative disposition of the nitrogens.

To obtain a crude estimate of such a stability sequence the anion energies are subtracted from the parent energies in Table 6. This gives the energy ordering

$$iL < Gy < Ge < < Joe < oy$$

Although the general trend is reproduced, our calculations reverse the sequence for the 4-pyridazinyl anion and 5-pyrimidinyl anion (but the experimental value for the former is only approximate), and for the 4-pyrimidinyl anion and 2-pyrazinyl anion (where the experimental half-lives are very close). Considering the neglect of sol-

vent effects, and the possibility of competitive exchange mechanisms, a perfect

agreement is u

ly.

Some experimental data is available on the orientation effects in the addi-

tion.

tion of nucleophiles to the 1,2-dehydro Intermediates. Whereas only one final

le from 1, 2-didehydrobenzene, 3, 4-dide hydropyridine could undergo

product is poss

nucleophilic attack at the 3- or 4-positions to give different product. The addition

of ammonia or piperidine to 3, 4-didehydropyridine indicates a preference for

nucleophilic addition at the 4-position.³⁻⁵ Previously we have shown that EHT

electron densities and total energies of the reaction intermediates successfully cor~

relate orientation effects in nucleophilic substitution![®] The total electron densities

of Table 3 suggest that for 3, 4-didehydropyridine preferential nucleophilic attack

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should occur at the 4-position, as a consequence of its lower total elect

tron density

(8.97 against 4.35 electrons). In addition, the total energies of the p

ions confirm this preference. Localizing a hydride ion, chosen as a model for

the amine nucleophile, at the 3- or 4- radical lobes of 3,4-didehydropyridine pro-

duces respectively the 4- or 3-pyridinyl anion. Since the 3-pyridinyl anion is

0.17 eV more stable than the 4-isomer (Table 2) nucleophilic attack should be pre-

ferred at the 4-position. As we have indicated, when both orientations are

lead to the same conclusion, we can be confident that they provide a reasonable

account of the observed effects. In this case, the preferred nucleophilic attack

occurs at the 4-position. Although no experimental data has been published, our

calculations indicate that the 3,4-didehydro intermediate derived from the pyridinium

ion should undergo preferential nucleophilic addition at the 4-position also. In 3,4-

didehydroquinoline the preferred addition takes place again at the 4-position, which

»

is also reproduced by our calculations.

Of some interest is the exclusive formation of A-substituted products in

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the reaction of S-bromopyri with piperidine. This suggests that the 4,5
didehydropyrimidine intermediate undergoes preferential nucleophilic attack of the
4x, rather than the 5-position. Again, total electron densities and the total ener=
gies of the pyrimidiny! anions are both in agreement with this orientational effect.

On the basis of this experimental result, it can be seriously questioned whether the
2, 3edidehydropyridine is not formed during the dehydrohalogenation of

a

Dholopyridines. As a result of this reaction, the 2-substituted product is formed

exclusively, and it was therefore argued that 2,3-didchdropyridine woul! have fed

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= 8h

to the formation of some S-substituted product. In fact, it was concluded that if the

2, 3-didehydropyridine intervenes, the 3-isomer should be the predominant product, as a consequence of the electron withdrawal by nitrogen. Objections have been raised to this interpretation, since a strong orientation effect, similar to that found in 4,5-didehydropyrimidine, may be operative.²² Our calculations provide evidence in favor of this objection. Both total electron densities and total energies of the pyridine anions predict preferential nucleophilic attack at the 2-position, rather

than the 3-position. On the basis of our calculations, deprotonation of pyridine at

the 3-position is energetically favored over the 2- and 4-positions. This renders it

rather likely that the 2, 3-didehydropyridine may indeed be the intermediate in this

reaction, and a more detailed experimental study of this point seems advisable.

In the dehydrohalogenation of 4-halopyridazine, two 1, 2-didehydro

intermediates could be formed, the 3,4- and 4,5- didehydropyridazines. Our calculations indicate that the 4,5-isomer should be more stable, again due to the

dominant effect of the lone pair destabilization. Because of the symmetry of the

4, 5-isomer, no orientation effects are possible. In the unsymmetric 3, 4-isomer,

the 3,4-isomer, no orientation effects are possible. In the unsymmetric 3, 4-isomer,

the 3,4-isomer, no orientation effects are possible. In the unsymmetric 3, 4-isomer,

the 3,4-isomer, no orientation effects are possible. In the unsymmetric 3, 4-isomer,

nucleophilic attack could lead to either a 3- or a 4- substituted product. However,

the differences in the total electron densities and in the anion energies are exceed-

ingly small, and predict opposite orientations. This suggests that very little, if any,

orientation effects are expected for the intermediates from 4-helopyridazine. Unfor-

unately, no experimental data is available.

Unfortunately, no exper-

The lack of experimental data on the 1, 3- and 1, 4-didehydroaromatics pre-

vents us from confirming some of the interesting conclusions reached in these

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calculations.⁹ However, there has been some speculation on the 2, 6-didehydro-

pyridine as a reaction intermediate. ? Agai

predict this 1,3-dehydro system to be stabilized by conjugation with the nitrogen lone pair. Our EHT calculations, and the attendant theoretical analysis, the 2,6-isomer clearly emerges as the least stable of the six possible heterynes formed from pyridine (AF). Once again, we attribute this finding to destabilization by the nitrogen lone pair, since even the 3,5-isomer (E) in which the lone pair is furthest from the radical lobes is energetically favored by 0.84 eV over the 2,6-isomer. This provides an explanation of the failure of all reported attempts to generate this species.²

The effect of the nitrogen lone pair is also revealed in an interesting way

in the 1,3-overlap populations. Except in those instances where the lone pair is flanked by the radical lobes, the 1,3-overlap populations are all small but positive, and close to that of 1,3-benzyne. In 2,6-didehydropyridine, 2,4-didehydropyrimidine, and 2,6-didehydropyrazine, where the nitrogen flanks the radical lobes, the overlap populations are still small, but more significantly of negative sign.

n of the 1,3-radical

lobes. It is interesting to find that protonation of the nitrogen lone pair in 2,6=

didehydropyridine again results in a slightly positive ρ , 3-overlap population, there

fore restoring the direct, through-space interaction found in 1, 3-didehydrobenzene.

Recently we have noted a preliminary account of semiempirical SCE calcula

tions on the heterynes by Yonezawa, Konishi, and Kato,⁹ which we would like to

bring to the reader's attention. These calculations are able to decide if the ground

state of the molecule is in fact a singlet, something which our calculations are not

able to do.

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ACKNOWLEDGEMENT

We would like to thank M. Gheorghiv and Glodys Rodriguez for assistance in some of the computations. This work was generously supported by the University of Puerto Rico (W. A. and A. G.), and by the National Institutes of Health, the National Science Foundation, the Sloan Foundation, and the Chevron Research Company (R. H.). It was completed during the residence of one of the authors (R. H.) at Rio Piedras, and he would like to express his gratitude to the Department of Chemistry of the University of Puerto Rico for their hospitality during that stay.

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The Puerto Rico Nuclear Center is operated by the University of Puerto Rico

for the U.S. Atomic Energy Commission under contract No. AT (40-1) 1833,

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